

# Broker to Broker Transfer Request

## ACCOUNT DETAILS

Please complete this form if you wish to transfer financial products from another broker (with whom you are sponsored) to Australian Investment Exchange Ltd sponsorship.

**Important: PLEASE ATTACH A COPY OF YOUR LATEST CHESS HOLDING STATEMENT**

Name in which account is held

Account Number (internal use only)

## EXISTING SPONSORING PARTICIPANT DETAILS (other broker)

For your transfer to be successful, your registrations details (ie your name and address) on this form must agree with the details on your account with Australian Investment Exchange Ltd. If not, you will need to advise your existing sponsoring participant of any changes before we can process this transfer.

**Registered name and address as recorded on your latest CHESS Holding Statement**

Account designation (if applicable) eg < X & Y Superfund A/C >

  **A / C >**

Name of existing sponsoring participant (broker name)

Account number

HIN

Broker number (PID)

**Please select one option**

Please transfer HIN and all stock holdings from existing broker

or

Please transfer only those holdings listed below from existing broker onto Australian Investment Exchange Ltd's HIN

ASX Code	Security name (eg AMP Limited)	Quantity (No. of Shares)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

I/We authorise Australian Investment Exchange Ltd to transfer the existing HIN and all holdings/the above listed Holdings into my/our Australian Investment Exchange Ltd Share Trading Account.

**Signature(s):**

Please provide signatures of all account holders.

(Individual/Applicant 1 or Director of Company)

(Applicant 2 /Director or Secretary of Company)

Date