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Australian Investment Exchange Ltd (AUSIEX), ABN 71 076 515 930,
AFSL 241400, a Participant of the ASX Group, provides broking services
through the www.e-shares.com.au website.

Application Form

Please complete the Application Form clearly to ensure that your details are processed promptly.
Only one Account can be opened with each Application Form.

A. ACCOUNT TYPE (Please refer to Explanatory Note 1)

Please select an account and complete the compulsory sections indicated.

- Individual (Sections: B,C1,E,F,L,M,N)
Joint (Sections: B,C1,C2,E,F,L,M,N)
Company (Sections: B,C1,C2,D,E,F,L,M,N)
Superannuation Fund (Sections: B,C1,D,E,F,G,L,M,N)
Formal Trust Informal Trust (Sections: B,C1,D,E,F,G,L,M,N)
Minor (Sections: B,C1,E,F,G,L,M,N)
Formal partnership Informal Partnership (Sections: B,C1,C2,D,E,F,G,L,M,N)

B. TRADING ACCOUNT (Please refer to Explanatory Note 2)

Please select a trading account type.

- Professional Information Services (with Trading Pro)*
Premium Information Services* Casual Information Services
Special Trade (Issuer or SRN Sale) Margin Lending Account

Additional Services

(Select any of these services only if Professional is the nominated trading account type above)

- Dynamic Data Exchange (DDE) Add-in for Microsoft Excel*
Dow Jones Aus/NZ Financial & Market News*
Platinum Service*

*Please refer to the Schedule of Fees for the monthly service charge.

C1. PERSONAL DETAILS - APPLICANT 1 / DIRECTOR 1 / TRUSTEE
(Please refer to Explanatory Note 1)

Please complete the sections below.

Principal Contact: Yes No
Title: Dr Mr Mrs Ms Miss Other
Date of Birth: (must be over 18 years of age)
Given Name(s):
Surname:
Other names known by (if any):

Please supply the following details for identification purposes:

Drivers Licence Number: or Passport Number:
Occupation:
Employer:
Are you an Australian resident for Taxation purposes? Yes No
Tax File No: (Please refer to Explanatory Note 3)
If exempt please state reason

Contact Details

Please complete each section below, and tick only one box for your preferred contact.

Home:
Work:
Mobile:
Email:
Fax:

Residential Address (compulsory and PO Box not acceptable):

[Text input field for Residential Address]

Post Code: [Text input field]

Country Overseas: (if applicable) [Text input field]

Postal Address: (if same as residential address, write 'as above') [Text input field]

Post Code: [Text input field] State: [Text input field] Country: [Text input field]

Security Details: (Please refer to Explanatory Note 4)

Username: [Text input field] (8 - 15 characters alphanumeric)

Keyword: [Text input field] (eg: mother's maiden name) (min 4 characters)

C2. PERSONAL DETAILS - APPLICANT 2 / DIRECTOR 2 / COMPANY SECRETARY / TRUSTEE (Please refer to Explanatory Note 1)

Please select an information services account type.

(Please refer to Explanatory Note 2)

- Professional Information Services (with Trading Pro)*
Premium Information Services* Casual Information Services

Additional Services

(Select any of these services only if Professional is the nominated information services account type above)

- Dynamic Data Exchange (DDE) Add-in for Microsoft Excel*
Dow Jones Aus/NZ Financial & Market News*
Platinum Service*

*Please refer to the Schedule of Fees for the monthly service charge.

Principal Contact: Yes No
Title: Dr Mr Mrs Ms Miss Other
Date of Birth: (must be over 18 years of age)
Given Name(s):
Surname:
Other names known by (if any):

Please supply the following details for identification purposes.

Drivers Licence Number: or Passport Number:
Occupation:
Employer:
Are you an Australian resident for Taxation purposes? Yes No
Tax file No: (Please refer to Explanatory Note 3)
If exempt please state reason

Contact Details

Please complete each section below, and tick only one box for your preferred contact.

Home:
Work:
Mobile:
Email:
Fax:

Residential Address (compulsory and PO Box not acceptable):

[Text input field for Residential Address]

Postal Address: (if same as residential address, write 'as above') [Text input field]

Post Code: [Text input field] State: [Text input field] Country: [Text input field]

Security Details: (Please refer to Explanatory Note 4)

Username: [Text input field] (8 - 15 characters alphanumeric)

Keyword: [Text input field] (eg: mother's maiden name) (min 4 characters)

D. COMPANY DETAILS (Complete only if a Company Account is selected in section A)

Name of Company:

(A certified copy of Certificate of Incorporation is required if a non Australian company)

Postal Address: (compulsory)

Post Code: State: Country:

Business Address:

(must be street address)

Post Code: State: Country:

Registered Address:

Post Code: State: Country:

Australian Company Number (ACN):

Australian Business Number (ABN):

Tax File Number:

Phone Number:

Email Address:

Fax Number:

Applicant 1's Capacity:

Director Secretary Sole Director/Secretary

If other please state:

Applicant 2's Capacity:

Director Secretary If other please state:

Please provide signatures of all Directors and Secretaries in section N.

Nature of Business:

Company Type:

Public Proprietary

If proprietary company, please complete the below details

Shareholders (any individual who owns more than 25% of the company)

Shareholder 1:

Title: Dr Mr Mrs Ms Miss Other

Given Name(s):

Surname:

Other names known by (if any):

Residential Address (compulsory and PO Box not acceptable):

Post Code:

Country Overseas: (if applicable)

Shareholder 2:

Title: Dr Mr Mrs Ms Miss Other

Given Name(s):

Surname:

Other names known by (if any):

Residential Address (compulsory and PO Box not acceptable):

Post Code:

Country Overseas: (if applicable)

Shareholder 3:

Title: Dr Mr Mrs Ms Miss Other

Given Name(s):

Surname:

Other names known by (if any):

Residential Address (compulsory and PO Box not acceptable):

Post Code:

Country Overseas: (if applicable)

Non-Trading Directors

Non-Trading Director 1:

Title: Dr Mr Mrs Ms Miss Other

Given Name(s):

Surname:

Other names known by (if any):

Non-Trading Director 2:

Title: Dr Mr Mrs Ms Miss Other

Given Name(s):

Surname:

Other names known by (if any):

Non-Trading Director 3:

Title: Dr Mr Mrs Ms Miss Other

Given Name(s):

Surname:

Other names known by (if any):

E. TRUST DETAILS

Trust Beneficiary Details

Trust Beneficiary 1:

Title: Dr Mr Mrs Ms Miss Other

Given Name(s):

Surname:

Other names known by (if any):

Trust Beneficiary 2:

Title: Dr Mr Mrs Ms Miss Other

Given Name(s):

Surname:

Other names known by (if any):

Trust Beneficiary 3:

Title: Dr Mr Mrs Ms Miss Other

Given Name(s):

Surname:

Other names known by (if any):

F. INFORMATION SERVICES PAYMENT OPTIONS
(Complete only if a Professional Information Services Account is selected in section B)

Please select your preferred payment option, otherwise payments will be debited from your Cash Account.

- Please debit any due payments from my Cash Account for my Professional Information Services
- Please debit any due payments from my credit card for my Professional Information Services

Name on Card:

Credit Card No:

Expiry Date: /

Credit Card Type: MasterCard Visa

G. NOMINATED BANK ACCOUNT
(For the withdrawal of monies from your Cash Account)

Must be in the name of a party in Section C1,C2, D or G.

Account Name:

Bank:

BSB:

Account Number:

Branch:

H. ACCOUNT DESIGNATION
(Please refer to Explanatory Note 1 & 5)

< >

(maximum 30 characters including brackets)

Tax File No:

I. MARGIN LENDING DETAILS
(Complete only if you selected a Margin Lending Account in Section B)

Margin Lender. (M/L):

Account Number with M/L:

Contact at Margin Lender:

Phone Number:

J. NOMINATED REPRESENTATIVE (N/R)
You may wish to nominate an N/R on your account.
(Complete only if applicable. Please refer to Explanatory Note 6)

Name of N/R:

Title: Dr Mr Mrs Ms Miss Other

Date of Birth: (must be over 18 years of age)

Given Name(s):

Surname:

Other names known by (if any):

Please supply the following details for identification purposes.

Drivers Licence Number: or Passport Number:

Occupation:

Is the N/R an Australian resident for the purpose of Taxation requirements?
 Yes No

Tax File No:

(Please refer to Explanatory Note 3)

If exempt please state reason:

Contact Details

Please complete each section below, and tick only one box for your preferred contact.

Home:

Work:

Mobile:

Email:

Residential Address (compulsory and PO Box not acceptable):

Post Code:

Country Overseas: (if applicable)

Security Details:
(Please refer to Explanatory Note 4)

Username: (8 - 15 characters alphanumeric)

Keyword: (eg: mother's maiden name)
(min 4 characters)

K. ADDITIONAL FORMS

Please tick the box if you would like to be posted information on the following:

- Warrants Trading Information
- Exchange Traded Options Trading Information
- Broker to Broker Transfer Form (to transfer HIN/Stocks from another broker)
- Conversion Form (to convert issuer sponsored/SRN stocks to Australian Investment Exchange Ltd)

L. ADDITIONAL ACCOUNTS (If applicable)

Please list any additional accounts (including related parties) who hold trading accounts with us. (Please refer to Explanatory Note 7)

Account Number(s):

M. PRIVACY INFORMATION

How is customer information dealt with?

The privacy of your customer information is important to us. We collect your customer information to ensure that we are able to provide you with the products and services most appropriate to your needs.

Telephone conversations with AUSIEX may be recorded to ensure our service standards are met or exceeded and to allow records to be kept of the advice given and orders taken.

AUSIEX has adopted the principles set out in the Privacy Act as part of our continuing commitment to client service and maintenance of client confidentiality.

If you would like further information regarding this policy please contact us, by fax on (08) 9321 1553, or by telephone on 1300 655 626 (between 8am and 7pm AEST Monday to Friday).

N. DECLARATION TO TERMS AND CONDITIONS

1. I/We hereby acknowledge that I/we have read and understood the Australian Investment Exchange Ltd Financial Services Guide provided to me, which is also available at www.e-shares.com.au.
2. I/We have read and agree to accept and abide by the Australian Investment Exchange Ltd Share Trading Conditions as described in the Terms and Conditions, also available at www.e-shares.com.au.
3. I/We have read and agree to accept and abide by the Australian Investment Exchange Ltd Online Access Conditions as described in the Terms and Conditions, also available at www.e-shares.com.au.
4. I/We agree to be sponsored by Australian Investment Exchange Ltd under the terms of the Participant Sponsorship Agreement. I have read and agree to accept and abide by the Australian Investment Exchange Ltd Terms and Conditions of the Participant Sponsorship Agreement, also available at www.e-shares.com.au.
5. I confirm that I have received and read a copy of the current Cash Account Product Disclosure Statement. I appoint Australian Investment Exchange Ltd as my nominated agent for establishing a Cash Account on my behalf and operating it in accordance with the Australian Investment Exchange Ltd Terms and Conditions of the Cash Account authority, which is also available at www.e-shares.com.au.
6. I agree for Australian Investment Exchange Ltd to remit funds to my choice of Nominated Bank Account upon my request.
7. I agree that my nominated representative(s) is/are bound by these Terms and Conditions.
8. I agree for Australian Investment Exchange Ltd to send my Contract Notes, Trading Account information and Statements to me electronically.
9. I declare that I have the legal capacity to make these declarations and accept the conditions. I agree and enter into the agreements referred to in points 1, 2, 3, 4, 5, 6, 7 and 8 above. (If you require a printed copy of our Terms and Conditions, please contact us on 1300 135 189).
10. I/We understand and acknowledge that the law requires signatories to provide true and correct information and state all the names by which they are commonly known. I also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information or documents in connection with the provision of financial services and the making, possession or use of a false document in connection with an identification procedure.
11. I/We declare that the details as shown on this form are complete and correct.

Signature of Applicant 1

Director/Company Secretary/Sole Director/Sole Secretary

Name of Applicant 1

Date

Signature of Applicant 2/Director/Company Secretary

Name of Applicant 2

Date

Nominated Representative (only if applicable)

Signature of Applicant 3/Director/Company Secretary

Name of Applicant 3

Date

IMPORTANT: Please include a copy of the Drivers Licence or Passport for each applicant. This must confirm the applicant's current residential address. If this does not confirm the applicant's current residential address, the applicant must provide a copy of a bank statement, council rate notice or utility account (such as water, gas or electricity) that verifies the current residential address as well as photo identification.

INTERNAL USE ONLY

Trading A/C Number: HIN: Date: Initials:

Please post your completed Application Form to us at:

Australian Investment Exchange Ltd

PO Box 7428

Cloisters Square WA 6850

APPLICATION CHECKLIST

APPLICATION CHECKLIST

Please ensure that you have successfully completed the following sections of the application form, by going through the checklist below:

- Section A - Type of Account
- Section B - Type of Information Services
- Section C1, C2 & I (if applicable) - Personal Details
- Section C1, C2 & I (if applicable) - Residential Address
- To comply with AUSTRAC requirements please see Identification information for Individuals and Signatories in the section below.**
- Section F - Type of Payment for Information Services (For Professional Information Services Accounts only)
- Section G - Nominated Bank Account Details
- Section M - Read Privacy Information
- Section N - Read Declaration to Terms and Conditions
- If you would like to open your account with funds, please include a cheque of \$500 or greater, addressed to: 'Australian Investment Exchange Ltd Trust Account' (optional).

Once we have received your completed application form, your account will take up to 5 working days to process. We will then mail your Account Number and Trading Account details to you with our Welcome Letter. If you should require any further assistance, please contact us on 1300 655 626 from Monday to Friday 8am - 7pm AEST.

IDENTIFICATION INFORMATION FOR INDIVIDUALS AND SIGNATORIES

OPTION A**Primary Photographic Identification (Sufficient ID by itself)**

- Australian Passport issued by the Commonwealth, which has not been expired for more than 2 years
- Drivers licence issued in Australia
- Proof of Age Cards – issued under a law of a state or territory. (All States)
- NSW birth card (not currently available in other states)
- NSW Photo card
- International Passport or Travel Document which:
 - contains a photograph and signature of the person in whose name the document is issued;
 - is issued by a foreign government, the United Nations (UN) or an agency of the UN; and
 - if written in language not understood, accompanied by an English translation from an accredited translator.
- A national identity card issued for the purpose of identity which:
 - contains a photograph and signature of the person in whose name the document is issued;
 - Issued by a foreign government, the United Nations (UN) or an agency of the UN; and
 - if written in language not understood, accompanied by an English translation from an accredited translator.
- Firearms licence issued under law (All States)
- Defence Force Identity card (excluding spouse cards)

OPTION B**2 x Primary Non Photographic Identification**

- Birth certificate or birth extract issued by an Australian State or Territory
- Birth certificate issued by a foreign government, the United Nations or an agency of the UN
 - if written in language not understood, accompanied by an English translation from an accredited translator
- Citizenship certificate issued by the Commonwealth
- Citizenship certificate issued by a foreign government
 - if written in language not understood, accompanied by an English translation from an accredited translator
- A pension card issued by Centrelink that entitles the person whose name the card is issued, to financial benefits

OPTION C**1 x Primary Non Photographic (Refer OPTION B above) and 1 x Secondary Identification**

- A notice that was issued to an individual by a the Commonwealth, State or Territory within the preceding 12 months which;
 - contains the name of the person and his or her residential address; and
 - records the provision of financial benefits to the individual under a law of the Commonwealth, State or Territory
- Taxation Notice issued to individual within the preceding 12 months which contains the name of the individual and his or her residential address
- A notice that was issued by a local government or utilities provider e.g. gas, electricity, water, rates with the preceding 3 months that:
 - contains the name of the person and his or her residential address; and
 - records the provision of services by the local government body or utilities provider to that address or to that person
- For those individuals under 18, a notice issued by a School Principal within preceding 3 months with name and address of the person and the period of time the person attended the school
- Drivers licence issued overseas
- Security Guard / Crowd Safety Officer Identity Card

How should documents be certified?

The certified photocopy must include a statement "I certify that this is a true copy of the original document" (or similar wording). The certifier must also include their full name, signature and qualification or occupation which makes them eligible, on the photocopied ID.

Please DO NOT attach original identification documents. Send only CERTIFIED copies of original documents.

Who can certify identity documents:

- A barrister or a solicitor;
- A judge or a magistrate;
- A chief executive officer of a Commonwealth court;
- A registrar/deputy registrar of a court;
- A Justice of the Peace;
- A public notary;
- A police officer;
- An agent of Australia Post who is in charge of an office supplying postal services to the public;
- A permanent employee of Australia Post with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
- An Australian diplomatic or consular officer;
- An officer with 2 or more continuous years of service with one or more financial institutions;
- A finance company officer with 2 or more continuous years of service with one or more finance companies;
- An officer or authorised representative that holds an Australian financial services licence and has 2 or more continuous years of service with one or more licences;
- A member of:
 - the Institute of Chartered Accountants in Australia
 - CPA Australia
 - the National Institute of Accountants;
 with 2 or more years of continuous membership.

EXPLANATORY NOTES

1: TYPE OF ACCOUNT

Account Type	Explanation	Correct CHESS Registered Account Name (eg.)
Individual	Use full name of individual	Peter John Brown
Joint	Use full name(s) of individuals	Peter John Brown & Susan Alice Brown
Company	Use full company name	Bokum Pty Ltd
Registered Body	Use office bearer(s) name(s)	Peter John Brown <Brisbane Bowling Club A/C>
Superannuation Fund	"S/F" should be used in place of "Super Fund". The name of trustee should be used rather than the name of fund	Peter John Brown & Susan Alice Brown <Brown Family S/F A/C> Bokum Pty Ltd <Bokum S/F A/C>
Trust Formal - established as a legal entity under a formal agreement. Informal - no formal arrangement in place.	The words "as trustee for" or "trust" should not be used. Use name(s) of trustee(s) or custodian(s).	Peter John Brown & Susan Alice Brown <Brown Family A/C> Bokum Pty Ltd <Bokum Family A/C>
Minors	Use name(s) of custodian(s)	Peter John Brown <Anne Mary Brown A/C>
Partnerships Formal - established as a legal entity under a partnership agreement. Informal - no formal arrangement in place, and no partnership TFN or ABN.	Use partners' personal names	Peter John Brown & Susan Alice Brown <Brown Partnership A/C>
Executor	The Executors of the Estate	Mary Elizabeth Brown <Est. late Peter John Brown>

2: TYPE OF TRADING ACCOUNT

Professional Information Services Account

The Professional Information Services Account is best suited to frequently trading professionals. This service is free if you place a large volume of trades per month. Otherwise your market information fee is charged per month per user, debited monthly from your credit card or your Cash Account. Please refer to the Schedule of Fees on our trading website for further details.

Frequent trader rebates apply to this type of Services Account.

If you select a Professional Information Services Account, you will have to nominate your preferred method of payment in Section E of the Application Form. Please note that each applicant who has selected Professional Information Services for their account, will be billed monthly. If you do not make these funds available for payment when invoiced at the end of the month, you may be automatically downgraded to the Casual Information Services Account.

Additional Services for the Professional Account

If you select Professional Information Services as your trading account you can opt to receive additional services. These services are only available to Professional Information Services clients and each additional service nominated will incur a separate fee. Please refer to the Schedule of Fees on our trading website for further details.

If you select any additional services you will have to nominate your preferred method of payment in Section E of the Application Form. Please note that each applicant who has selected any additional services for their account will be billed monthly. If you do not make these funds available for payment when invoiced at the end of the month, you may automatically be downgraded to the Casual Information Services Account. Please refer to the Schedule of Fees on our trading website for further details.

Premium Information Services Account

The Premium Information Services Account is best suited to the moderate to frequent trader. This service is free if you place a medium volume of trades per month or maintain a specific balance in your Cash Account. Otherwise your market information fee is charged per month per user, debited monthly from your Cash Account. Please refer to the Schedule of Fees on our trading website for further details.

Frequent trader rebates apply to this type of Services Account.

Please note that each applicant who has selected Premium Information Services for their account will be billed monthly. If you do not make these funds available for payment when invoiced at the end of the month, you may be automatically downgraded to the Casual Information Services Account.

Casual Information Services Account

The Casual Information Services Account is best suited to those who are occasional traders. This service is free for all clients and it includes access to the trading website with 20 minute delayed market information.

Frequent trader rebates do not apply to this type of Services Account.

Margin Lending Account

This account is for those who trade using a Margin Lender and this account has phone only trading facilities enabled. If you wish to have Internet access to our Information Services, please select a Premium, Professional or Casual Information Services Account on the Application Form as well.

Special Trade

For those who wish to place one off trade(s) with us, we have a Special Trade facility that allows for this which is listed on the Application Form.

3: TAX FILE NUMBER NOTIFICATION

Providing your Tax File Number (TFN) is not compulsory, but if you do not, tax may be taken out of your interest at the highest marginal tax rate plus Medicare levy. If you wish to claim an exemption from quoting your Tax File Number, please complete the relevant section on the Application Form and indicate the exemption claimed.

For Tax File Numbers and Trusts

Formal trust - established as a legal entity under a formal trust deed and has a Tax File Number. Please quote the Trust Tax File Number of the formal trust.

Informal trust - there are no formal arrangements in place and there is no requirement for you to furnish a Trust income tax return to the Australian Tax Office. Please provide the Tax File Number(s) of the Trustee(s)

For Tax File Numbers and Partnerships

Formal partnership - where an arrangement exists based on the existence of a partnership agreement, and where the partnership has a Tax File Number. Please quote the Tax File Number of the Partnership.

Informal partnership - where there is no partnership entity for tax purposes (Eg. husband and wife joint account). Please quote the Tax File Numbers of the individuals.

4: SECURITY DETAILS

Please provide a Username (8 - 15 characters alphanumeric) and Keyword (eg: mother's maiden name), which will be used to identify you when making account enquiries over the phone.

EXPLANATORY NOTES CONTINUED...

5: ACCOUNT DESIGNATION

Account Designation is used when you wish to trade under the name of a Superannuation Fund, Family Trust, Minor or Deceased Estate.

Examples include: <Brown S/F A/C>, <Brown Family A/C>, <Paul Brown A/C> (if you are acting as trustee).

(Please note: CHESS allows a maximum of 30 characters for Account Designation - including the brackets.)

6: DETAILS OF NOMINATED REPRESENTATIVE

This section is applicable to the Nominated Representative on your account. As a Nominated Representative you are obliged according to sections 4.3 to 4.8 of the Conditions of Online Access to adhere to the following conditions:

1. You nominate Australian Investment Exchange Ltd (AUSIEX) to accept instructions on Your behalf from Your nominated representative(s) and You confirm that Your nominated representative(s) has/have the power to do the following in Your name and on Your behalf from time to time:
 - (a) give instructions to AUSIEX on Your behalf; and
 - (b) access the trading Site and use the Services.
2. You agree to ratify and confirm all actions carried out by AUSIEX on the instructions of Your nominated representative(s).

3. When you are more than one person, AUSIEX may accept instructions from one or more of You on behalf of all of You.
4. You agree that all risk and liability for any unauthorised instructions or fraud lies with You alone and that You will indemnify AUSIEX from all losses, costs and expenses arising from such unauthorised instruction or fraud.
5. AUSIEX has the right to request written confirmation of instructions before acting on any instructions from You or Your nominated representative(s).

AUSIEX may assume the authenticity of any instructions given or purportedly given orally, in writing or by electronic means (including any electronic instructions which include all or any part of Your Logon ID or Individual Logon ID) by You or Your nominated representative(s), or that any person claiming to be Your nominated representative is in fact that person. AUSIEX is not obliged to enquire into any of the matters mentioned in this condition and You authorise AUSIEX to act upon such instructions believed by AUSIEX to be authentic.

7: ADDITIONAL ACCOUNTS

Related parties as defined by the ASX Market Rules include: immediate family members, defacto partners, any accounts or companies that you control including subsidiary and holding companies.